

2611

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Patent  
PD-201151  
Customer No. 020991

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ernest C. Chen et al. :

Serial No.: 10/068,047  
Filed: February 5, 2002

For: DUAL LAYER SIGNAL  
PROCESSING IN A LAYERED  
MODULATION DIGITAL SIGNAL  
SYSTEM

Group Art Unit: 2611  
Examiner: Juan A. Torres

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Georgann S. Grunebach

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Date: November 22, 2006

**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (d)**  
**WITH FEE AS SET FORTH IN §1.17(p)**

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Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The references were cited in a European Search Report dated September 29, 2006 in the European counterpart application of related U.S. patent application serial number 10/532,631 filed April 25, 2005.

11/24/2006 TBESHAH1 00000071 500383 10068047

This statement should be considered because it is submitted after the period specified under 37 C.F.R. §1.97(c), but filed on or before payment of the issue fee as set forth in 37 C.F.R. § 1.97(d).

Certification Under 37 C.F.R. §1.97(e)

Each item of information contained in the information disclosure statement was first cited in a communication from the European patent office in the counterpart foreign application of a related application not more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. §1.97(e)(1)).

**PAYMENT OF FEE SET FORTH IN §1.17(p)**

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This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

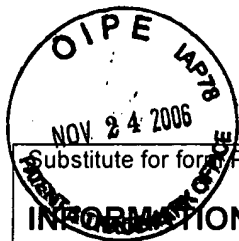
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November 22, 2006



Substitute for form PTO/SB/08A

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

		Complete if Known	
		Application Number	10/068,047
		Filing Date	February 5, 2002
		First Named Inventor	Ernest C. Chen
		Art Unit	2611
		Examiner Name	TORRES, Juan A.
Date:	November 22, 2006		
Sheet 1 of 1		Attorney Docket Number	PD-201151

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2002/006795	01-2002	Norin et al.	
		US-6,333,924	12-2001	Porcelli et al.	
		US-2001/012322	08-2001	Nagaoka et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		WO 2005/086444	09-2005	Thomson Licensing S.A.		
		WO 2005/074171	08-2005	Qualcomm Incorporated		

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